REGULAR ARTICLE

# **Ab initio molecular orbital study of the insertion of H2 into POSS compounds**

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**Abstract** The insertion of one and two  $H_2$  molecules into polyhedral oligomeric silsesquioxanes (POSS) was investigated as a function of the size of the cage, using both Hartree-Fock (HF) and second order perturbation theory (MP2) methods. Also investigated was the same reaction into the heavier groups 4 and 14 metal-substituted POSS (metallasilsesquioxanes) such as Ge-POSS, Si/Ge-mixed POSS, and Ti- and Zr-POSS. The properties of these species in comparison with those of POSS are discussed.

**Keywords** POSS · Silsesquioxanes · Insertion of  $H_2$  · Molecular orbital

#### **1 Introduction**

For many years, polyhedral oligomeric silsesquioxanes  $(POSS), [RSiO<sub>1.5</sub>]<sub>n</sub>; n = 4, 6, 8, 10, 12, \ldots,$  (usually referred to as  $T_n$ : see Scheme [1\)](#page-1-0) have been the focus of considerable experimental and theoretical interest because of their wide variety of practical uses  $[1-4]$  $[1-4]$ . Interest in these compounds has focused on (1) the properties, mechanisms of formation

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and reactions of POSS and related compounds, including polymers [\[5](#page-10-2)[–13\]](#page-10-3), and (2) modeling catalytic reactions of POSS species on silica surfaces [\[14](#page-10-4)[–20](#page-10-5)]. Various metals attached to incompletely condensed POSS compounds can play an important role in heterogeneous catalysis. However, the chemistry of fully or partially metal-substituted POSS is not well known, possibly because of experimental difficulties. However, such metallasilsesquioxanes may have the potential to be new functional materials or building blocks of useful polymers. Theoretical investigations of such compounds are expected to provide valuable insights into the chemistry of these species.

In the past several years, the authors have studied many aspects of POSS and related compounds. These investigations have included prediction and analysis of the mechanism of the formation of T<sub>8</sub>,  $[RSiO<sub>1.5</sub>]<sub>8</sub>$  [\[21](#page-10-6)[–24](#page-10-7)], as well as the structures and catalytic ability of POSS that are both fully and partially substituted with Ti; that is,  $[HTiO<sub>1.5</sub>]$ <sub>n</sub>, and  $H_8T_1pSi_{8-p}O_{12}$  [\[25](#page-10-8), 26]. In a continuing effort to employ theory to help understand the chemistry of POSS, and possibly design new POSS functionalities, the present work reports the results of calculations on the ability of POSS species to absorb one or more hydrogen molecules. There has been increasing interest in the ability of POSS and related cage compounds to encapsulate small molecules and ions [\[27](#page-10-10)[–32](#page-10-11)]. The present analysis of the mechanism of  $H_2$  encapsulation into POSS and metal analogs may have implications with regard to the future development of molecular sieves and  $H_2$ storage. The details of the structure and stability of metalsubstituted POSS will be discussed in a separate work [\[33](#page-10-12)].

#### **2 Computational methods**

The geometries of all molecules of interest have been fully optimized at the Hartree–Fock and second order perturbation (MP2) [\[34](#page-10-13)] levels of theory, using the SBKJC effective core potential [\[35](#page-10-14)[–37](#page-10-15)] in order to compare all species considered here at a consistent level of theory. All optimized structures were characterized as minima or transition states by calculating and diagonalizing the corresponding Hessian matrix of energy second derivatives. Single point MP2 energy calculations have been performed at all stationary points to obtain more reliable relative energies. For this purpose, the Zr basis set was augmented by a set of f polarization functions (exponent  $= 0.300731$ ), in order to provide more reliable relative energies. Likewise, the DZV(d) or DZV(d,p)  $[38]$ basis set was used for some of the Ge compounds, while

<span id="page-1-0"></span>**Scheme 1**

the TZV $(d, p)$  [\[39,](#page-10-17)[40\]](#page-10-18) basis sets were employed for the Ti and Si compounds. All calculations were performed with the GAMESS electronic structure code [\[41](#page-10-19)[,42\]](#page-10-20).

#### **3 Results and discussion**

#### 3.1 Fully- and partially-substituted metallasilsesquioxanes

Table [1](#page-2-0) summarizes the optimized geometries of  $[HGeO<sub>1.5</sub>]$ <sub>n</sub>  $(Ge-T<sub>n</sub>)$  and  $[HZrO<sub>1.5</sub>]$ <sub>n</sub> $(Zr-T<sub>n</sub>)$ ;  $n = 4, 6, 8, 10$ and 12. The analogous structures for the all Si [\[25,](#page-10-8)[43\]](#page-11-0) and all



<span id="page-2-0"></span>**Table 1** MP2/SBK, MP2/DZV(d)<sup>a</sup> and MP2/SBK(f)<sup>b</sup> geometries (Åand degrees) of  $[HXO_{1.5}]_n$  (X = Ge and Zr; *n* = 4, 6, 8, 10 and 12)

X		$X-O$	$X-H$	$X-O-X$	$O-X-O$
Ge					
T <sub>4</sub>		$(1.798)^{a}$ 1.821	(1.513) 1.512	(115.0) 120.4	$(106.6)$ 103.5
$T_6$	$R_3^c$	$(1.784)$ 1.798	(1.515) 1.515	$(129.7)$ 132.2	(109.0) 103.2
	$R_4$	$(1.780)$ 1.774		$(124.7)$ 145.7	$(109.5)$ 108.6
$T_8$		(1.770) 1.771	(1.516) 1.516	(144.6) 150.7	(111.3) 108.3
$T_{10}$	$R_4$	(1.780) 1.770	(1.525) 1.519	(126.7) 152.0	(110.6) 108.4
	$R_5$	(1.750) 1.761		(168.6) 157.5	(106.8) 110.0
$T_{12} - D_{6h}$	$R_4$	1.771	1.521	151.8	108.5
	$R_6$	1.759		157.0	110.7
$T_{12} - D_{2d}$	$R_4$	1.771	1.519	151.6	108.5
	$R_5$	1.750		173.4	109.5
Zr					
$\mathrm{T}_4$		$<$ 1.988 $>^{b}$ 2.008	< 1.910 > 1.915	$<$ 123.4 > 126.9	< 101.7 > 99.5
$T_6$	$R_3$	< 1.983 > 2.000	< 1.900 > 1.908	$<$ 134.2 > 137.4	$<$ 102.8 > 98.9
	R <sub>4</sub>	< 1.981 > 1.995		< 144.8 > 151.2	$<$ 107.4 > 106.5
$T_8$		< 1.980 > 1.992	< 1.897 > 1.905	< 152.5 > 155.0	$<$ 107.4 > 106.0
$T_{10}$	$R_4$	< 1.967 > 1.992	< 1.897 > 1.902	< 158.8 > 157.3	$<$ 105.4 > 105.7
	$R_5$	< 1.968 > 1.994		< 160.3 > 160.4	$<$ 109.9 > 110.2
$T_{12} - D_{6h}$	$R_4$	1.972	1.894	161.8	104.3
	$R_6$	1.976		160.4	114.0
$T_{12} - D_{2d}$	$R_4$	1.968	1.895	156.9	97.3
	$R_5$	1.968		171.6	109.8

 $a$  The values in parentheses are the MP2/DZV(d) geometries

 $<sup>b</sup>$  The values in brackets are the MP2/SBK(f) geometries</sup>

 $c$  R<sub>n</sub> means the face into which H<sub>2</sub> inserts

<span id="page-2-1"></span>**Table 2** Energies (kcal/mol) of the  $D_{2d}$  isomer relative to the  $D_{6h}$  Isomer of  $[HXO<sub>1.5</sub>]_{12}$  (X = Si, Ti, Ge and Zr) at geometries optimized at various levels of theory

HF/SBK			$MP2/SBK$ HF/TZV(d,p) $MP2/TZV(d,p)$
$Si -15.3$	$-11.9$	$-4.7$	$-1.1$
$Ti \t -10.8$		$-6.4^{\circ}$	$-3.6^{\circ}$
Ge $-11.8$	$-7.7$	$(-25.6)^{a}$	
$Zr -12.0 < -8.3 > b$			

<sup>a</sup> The value in parentheses is the HF/DZV(d) energy

 $<sup>b</sup>$  The value in brackets is the HF/SBK(f) energy</sup>

 $c$  Ref. [\[25](#page-10-8)]

Ti [\[25](#page-10-8)] POSS have been presented previously. Two isomers, the  $D_{6h}$  and  $D_{2d}$  structures, have been found for the  $n = 12$ cage (see Scheme [1\)](#page-1-0). The relative energies of these isomers, together with those of the Si and Ti POSS [\[25](#page-10-8)] obtained at various computational levels are shown in Table [2.](#page-2-1) The  $D_{2d}$ structures are more stable in all compounds but the larger basis set significantly stabilizes the  $D_{6h}$  structures for Si and Ti-T<sub>12</sub>. One apparent exception is Ge-T<sub>12</sub>, for which the  $D_{2d}$ 

structure seems to be the only minimum. The  $Ge-T_{12}D_{6h}$ isomer is a minimum at the HF/SBK level of theory, but the MP2/SBK structure has several imaginary frequencies in the range 20–98 cm<sup>-1</sup>. Also, it is found that the HF/DZV(d) optimized structure has seven imaginary frequencies, suggesting that the  $D_{6h}$  isomer of Ge-POSS is not an equilibrium structure. It may be that the larger ring in the Ge compound prefers non planar structures.

The structures and stabilities of all possible Si/Ge mixed  $T_8$ , H<sub>8</sub>Ge<sub>*p*</sub>Si<sub>8−*p*</sub>O<sub>12</sub> ( $p = 1 – 7$ ), shown in Scheme [2,](#page-3-0) were also investigated. The MP2/SBK Si–O bond length and Si– O–Si bond angle in  $[HSiO<sub>1.5</sub>]_8$  ( $p = 0$ ) are 1.700 Åand 152*.*4◦, while the Ge–O distance and Ge–O–Ge angle in  $[HGeO<sub>1.5</sub>]<sub>8</sub>(p = 8)$  are 1.771 Å and 150.7°, respectively. In the Si/Ge mixed  $T_8$ , there are no significant bond length changes, while the Si–O–Si angle increases slightly (154–155 $\degree$ ) and the Ge–O–Ge angle decreases (148 – 150 $\degree$ ) in the Ge/Si mixed compounds compared to the all-Si and all-Ge compounds. The Si–O–Ge angle is approximately the average of the original Si–O–Si and Ge–O–Ge angle in all cases (see Scheme [2](#page-3-0) and Fig. [1\)](#page-1-0).



#### <span id="page-3-0"></span> $3.2 \text{ H}_2$  insertions

#### *3.2.1 H*<sup>2</sup> *insertion into silsesquioxanes*

The optimized structures of the transition states for  $H_2$  insertion and the corresponding inclusion complexes are displayed in Fig. [2.](#page-3-0) In the previous computational study of the insertion mechanisms of  $N_2$  and  $O_2$  into POSS, relatively larger cages such as  $T_8$ ,  $T_{10}$  and  $T_{12}$ , were considered [\[27\]](#page-10-10). Here, the smaller  $T_6$  cage is also considered, because  $H_2$  may be small enough to enter  $T_6$  with smaller barriers than those that were



**Fig. 1** MP2/SBK optimized structure of  $H_8Ge_4Si_4O_{12}$  (4a) in angstroms and degrees

encountered for the larger diatomic molecules. A transition structure for H<sub>2</sub> insertion into the R<sub>[3](#page-5-0)</sub> face of T<sub>6</sub> (see Scheme 3) in which the faces where the  $H_2$  insertion takes place are indicated) was located at the HF/SBK and MP2/SBK levels of theory, with corresponding barrier heights of 212.2 and 177.4 kcal/mol, respectively. However, attempts to find this transition state using  $HF/TZV(d,p)$  failed, because the cage opened up. Apparently, the  $R_3$  face is too small even for  $H_2$ to enter. In contrast, insertion through the  $R<sub>4</sub>$  face seems to be easier, as the deformation of the ring is relatively small.

As seen from the averaged Si–O distances in Fig. [2,](#page-3-0) in all transition structures, the ring of the face into which the insertion takes place expands, but the extent of the expansion is very small in the larger cages. In the inclusion complex, the expanded ring of the insertion face shrinks again and the ring sizes of the top and bottom faces are the same. However, the cage that contains the inserted  $H_2$  has clearly expanded compared to the empty cage. For example, the average HF/TZV(d, p) Si–O distance in empty  $T_6$  is 1.633 Å, compared with 1.647 Åfor the inclusion complex at the same level of theory.

For the insertion into the  $R_6$  face of  $T_{12}(D_{6h})$ , two types of apparent transition structures were located at both HF/SBK and MP2/SBK levels of theory. In one of these  $(1)$  H<sub>2</sub> inserts horizontally (face-on) into the face, while in the second path (2) the insertion reaction takes place vertically (end-on) with a slightly higher energy barrier than (1). However, at these two levels of theory, (2) is found to have three imaginary frequencies and is therefore a third order saddle point, not a transition structure. At the  $HF/TZV(d,p)$  level of theory, in contrast, only (2) was located as a transition state with one imaginary frequency, and  $H_2$  maintains its orientation in the inclusion complex. Several attempts were made to find an



**Fig. 2** HF/TZV(d, p) optimized structures of the transition state (upper) and the inclusion complex (lower) for the  $H_2$  insertion into  $T_n$ ;  $n = 6, 8, 10$  and 12 in angstroms.  $R_n$  means the face into which the  $H_2$  inserts; for example,  $T_6$  has two kinds of faces or rings ( $R_3$  and  $R_4$ )

inclusion complex that directly connects with the face-on TS (1) at the HF/TZV $(d,p)$  level of theory, but no minimum was found. The only structure located that appears to be directly connected with TS (1) has one imaginary frequency corresponding to the transition structure for  $H_2$  rotation inside the cage. The corresponding energy barrier is 1.6 (0.8) kcal/mol at the HF/TZV $(d,p)$  (MP2//HF/TZV $(d,p)$ ) level of theory, respectively. It is concluded that the only inclusion complex in  $T_{12}$  is the one that corresponds to transition structure (2). Because the  $R_6$  face in  $T_{12}$  is large, it could be that the orientation of the  $H_2$  molecule as it enters the cage is not energetically important, even though it appears to enter end-on.

Table [3](#page-4-0) summarizes the energies of the insertion transition structure and the inclusion complex relative to the reactant  $H_2$ and empty cages for Si–POSS. The results for the analogous  $N_2$  and  $O_2$  insertions obtained at the same level of theory are also shown for comparison. As expected from the molecular size, the energy barrier for insertion is consistently smallest and the stability of the inclusion complex is largest for  $H_2$ . Furthermore, as the size of the cage increases, the energy barrier for insertion decreases.

In the above discussion, all of the insertions are assumed to take place through the face that has the largest ring, as this route is expected to be easier than that through the smaller

within the cage;  $T_6R_4$  means that the  $H_2$  insertion takes place on the  $R_4$ face of  $T_6$ . The three numbers are the averaged distance of Si-O bonds in  $(a)$  the face where  $H_2$  inserts,  $(b)$  the side face between the faces in  $(a)$  and  $(b)$ ,  $(c)$  the opposite face of  $(a)$ 

<span id="page-4-0"></span>**Table 3** MP2/TZV(d,p)//HF/TZV(d,p) energy barriers and net inclusion energies (kcal/mol) relative to reactants for the insertion of  $H_2$ ,  $O_2$  and  $N_2$  into the largest face of  $T_n$ -POSS ( $n = 6, 8, 10$  and 12)

	H <sub>2</sub>		$O_2^a$	$N_2^a$
$T_6$				
<b>TS</b>	75.2	$(71.1)^{b}$		
Complex	46.6	(48.7)		
$T_8$				
<b>TS</b>	74.7	(72.1)	174.5	188.8
Complex	15.0	(17.1)	82.3	95.0
$T_{10}$				
<b>TS</b>	24.3	(22.1)	52.6	65.8
Complex	1.4	(3.6)	19.2	24.0
$T_{12}$ (D <sub>6h</sub> )				
<b>TS</b>	6.1	(4.1)	10.4	14.5
Complex	$-1.9$	$(-0.8)$	0.3	$-1.3$

<sup>a</sup> Ref. [\[27\]](#page-10-10) b The values in parentheses are the MP2/SBK energy barriers

rings. This is confirmed by the barriers shown in Table [4.](#page-5-1) More interesting is the observation that the energy barrier depends on the size of the ring where the insertion occurs,



<span id="page-5-0"></span>**Scheme 3**

<span id="page-5-1"></span>**Table 4** MP2/SBK energy barriers (kcal/mol) for the insertion of H<sub>2</sub> into  $T_n$ -POSS ( $n = 6, 8, 10$  and 12) through the R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> faces

	Energy barriers		
	$R_4$	$R_5$	$R_6$
$T_6$	71.1		
$T_8$	72.1		
$T_{10}$	73.1	22.1	
$T_{12}(D_{6h})$	74.3		4.1
$T_{12}(D_{2d})$		22.9	

but does not otherwise depend on the size or shape of the entire cage. That is, the insertion reaction is found to be a local phenomenon.

## *3.2.2 H*<sup>2</sup> *insertion into fully- and partially-substituted metallasilsesquioxanes*

Table  $5$  summarizes the energetics of the insertion of  $H_2$  into  $[HXO<sub>1.5</sub>]<sub>8</sub>$  (fully substituted T<sub>8</sub>) and all-*cis* and all-*trans* isomers of  $[H(OH)XO]_4$  (fully substituted  $D_4$ );  $X = Si$ , Ge, Ti

<span id="page-5-2"></span>**Table 5** MP2/SBK energy barriers (kcal/mol) for the insertion of H2 into all-*cis* and all-*trans* isomers of D4*(*[H(OH)XO]4*)* and  $T_8([HXO_{1.5}]_8)(X=S_i, Ge, Ti$  and  $Zr)$  and energies (kcal/mol) of inclusion complex relative to the reactants  $(T_8 + H_2)$ 

X	$\mathbf{D}_4$			$T_8$	
	All- $cis$	$All-trans$	<b>TS</b>	Complex	
Si	71.6	75.6	72.1	$17.1(-0.05)^{a}$	
Ge	54.8	59.7	57.9	$12.6(-0.04)$	
Ti	44.1	44.0	46.4	8.8(0.17)	
Zr	32.2	32.1	32.3	4.6(0.10)	

 $a$ <sup>a</sup> The values in parentheses are the net Mulliken charges on  $H_2$  in the inclusion complexes

and Zr. For Si and Ge-D4, the transition structure of the all*cis* isomer is lower in energy than that of all-*trans*. This is because a hydrogen-bonding network still exists in the transition structure for the insertion of H2 into the all-*cis* isomer of the Si and Ge compounds.<sup>[1](#page-5-3)</sup> On the other hand, the energy barrier for the two isomers is about the same for the Ti and Zr analogs in which stabilization by hydrogen-bonding does not exist. The energy barriers for insertion into the cage and ring are similar, since the barrier for insertion into the cage is mirrored by the barrier at the R4 face. The barriers decrease in the order  $Si > Ge > Ti > Zr$ , the same as the order in which the ring and cage size increase. The distances (Å) between the center of the cage (Xc) and metal  $(X = Si, Ge, Ti, Zr)$ – r(Xc-X), are 2.862 (X = Si), 2.967 (X = Ge), 3.060 (X = Ti) and 3.369 ( $X = Zr$ ), respectively. The MP2/SBK energy barrier for Ge-T10 is calculated to be 17.3 kcal/mol, much lower than the 57.9 kcal/mol predicted for  $Ge-T_8$ . The dependence of the insertion barrier on ring size appears to be a general trend for these species.

The stability of the inclusion complex relative to the separated cage  $+H_2$  increases in the order,  $Si < Ge < Ti < Zr$ , the same order as the size of the cage. The net charge (Table [5\)](#page-5-2) on H2 inside the cage is negative for the Si and Ge cages, but the charge is positive for the Ti and Zr cages, suggesting that the direction of charge transfer is opposite in these two groups. Among these compounds, the largest amount of charge transfer is from  $H_2$  to the Ti cage. Most electronegativity scales (e.g., Pauling [\[44](#page-11-1),[45](#page-11-2)]) predict Ge (2.01) *>* Si  $(1.90)$  > Ti  $(1.54)$  > Zr  $(1.33)$ , so the observed charge transfer cannot be explained simply by the relative electronegativities of the metals. In order to determine if the size of the cage plays a role in the magnitude and direction of the charge transfer, an expanded Si-cage with the

<span id="page-5-3"></span><sup>&</sup>lt;sup>1</sup> Contrary to the fact that two isomers of the Si/Ge-mixed  $D_4$  ring are predicted to be almost planer at the MP2/SBK level, they take significantly non-planar structures at the MP2/DZV(d) level. In the cubic structures, however, each face cannot take a significantly non-planer structure.

<span id="page-6-0"></span>**Table 6** RVS (reduced variation space) HF/SBK energy decomposition of the interaction energies (kcal/mol) of  $X-T_8$  and  $H_2$  in the Inclusion complex

X	Si	Ge	Ti	Zr
ES	$-10.8$	$-7.8$	$-6.5$	$-3.5$
EX	37.4	28.1	23.9	13.6
PL	$-1.2$	$-1.0$	$-1.8$	$-1.0$
<b>CT</b>	$-2.1$	$-1.8$	$-1.3$	$-0.9$
<b>MIX</b>	$-0.6$	$-0.4$	$-0.4$	$-0.3$
Total	22.7	17.1	13.9	7.9

<span id="page-6-1"></span>**Table 7** Energy barriers (kcal/mol) for the insertion of  $H_2$  into  $H_8Ge_pSi_{4-p}O_4$  ( $p = 0 - 4$ ) at the HF/SBK, MP2/SBK and MP2/DZV(d, p)//MP2/SBK<sup>a</sup> levels of theory



 $a$  The values in parentheses are the MP2/DZV(d, p)//MP2/SBK values  $<sup>b</sup>A$  is the isomer with nearest neighbor Ge and Si atoms while B is an</sup> isomer with alternating Ge and Si (See Fig. [3\)](#page-5-0)

same size as the Zr-inclusion complex was examined. This cage expansion alters the net charge on  $H_2$  from negative to slightly positive (0.004). Therefore, the size of the cage seems to affect the direction of charge transfer. Furthermore, an RVS (reduced variation space) energy decomposition analysis [\[46](#page-11-3)] was employed (see Table [6\)](#page-6-0) to investigate the interaction energies in the inclusion complexes in more detail. Since the MIX term is very small, the well-defined terms in the RVS analysis are meaningful. The exchange repulsion (EX) clearly is the primary source of the destabilization of the inclusion complex, while the main contributor to stability of the complexes is the electrostatic term, ES. The other two contributors to the interaction energy, the polarization (POL) and charge transfer (CT) terms are small. Both EX and ES decrease in the order  $Si > Ge > Ti > Zr$ .

Next, consider the  $H_2$  insertion into Si–D<sub>4</sub> and Si–T<sub>8</sub> partially substituted with Ge. The transition structures and the energy barriers for the insertion into the Si/Ge-mixed-D4–  $H_8Ge_pSi_{4-p}O_4$ ;  $p=0-4$ , are shown in Fig. [3](#page-5-0) and Table [7,](#page-6-1) respectively. As the figure shows,  $H_2$  inserts through the center of the  $R_4$  face for  $p = 0$ , 2 with alternating Ge and Si (isomer B), and 4, while in the other cases the  $H_2$  position is closer to the Ge atoms than the Si atoms, probably because of

<span id="page-6-2"></span>

2 82*.*3*(*68*.*4*)* same as 1 3 78*.*0*(*64*.*5*)* 20*.*2*(*14*.*7*)* 4 78*.*2*(*64*.*7*)* Same as 3

2 78*.*1*(*64*.*6*)* 20*.*3*(*14*.*8*)*

d 1 74*.*1*(*61*.*0*)* 20*.*2*(*14*.*7*)*

e 1 78*.*2*(*64*.*6*)* 20*.*2*(*14*.*8*)*

f 78*.*1*(*64*.*7*)* 20*.*2*(*14*.*7*)*



available space. This larger space makes the insertion reaction easier as seen from the energy barriers in Table [7.](#page-6-1)

<sup>a</sup> The values in parentheses are the MP2/SBK//MP2/SBK values

<sup>b</sup> See Scheme [4](#page-7-0)

For the  $H_2$  insertions into the equally mixed Si/Ge  $T_8$ ,  $H_8Ge_4Si_4O_{12}$ , all possible routes into the six isomers (see Scheme [4](#page-7-0) and Table [8\)](#page-6-2) were investigated. In Scheme [4,](#page-7-0) the arrows with numbers indicate the direction (face) from which the  $H_2$  molecule is approaching. The corresponding barriers are listed in Table [8.](#page-6-2)

Shown in Fig. [4](#page-7-0) are the optimized structures of the three types of transition structure for the  $H_2$  insertion into isomer (4a) of  $H_8Ge_4Si_4O_{12}$  and the two kinds of inclusion isomers that were found. For each transition structure, the geometry change in the cage is only seen at the face (Si4*,* Ge4, or  $Si<sub>2</sub>Ge<sub>2</sub>$ ) into which H<sub>2</sub> inserts, suggesting this is a local phenomenon, as was noted for the per-Si–POSS compounds. The cage in the inclusion compounds seems to expand compared to the empty cage. As for the fully substituted  $T_8$  species, there is a strong correlation between the energy barriers for the  $D_4$  rings and those for the  $T_8$  compounds in which the insertion occurs into the analogous face. The insertion into the cage seems to need a slightly larger energy, due to geometric constraints in the cage. However, the stability of the inclusion complex (Table [8\)](#page-6-2) is apparently unrelated to the isomer or the face through which  $H_2$  inserts. For the mixed  $p = 1$  and  $p = 2$  Si/Ge-T<sub>8</sub> compounds (Table [9\)](#page-10-21), the stability of the inclusion complex depends only on the number of Ge or Si atoms in  $T_8$  and increases as the number of Ge atoms increases, due to the increasing size of the cage.

**Fig. 3** MP2/SBK optimized structures of the transition state for the  $H_2$  insertion into  $H_8Ge_pSi_{4-p}O_4$ ;  $p = 0 - 4$  in angstroms



### <span id="page-7-0"></span>*3.2.3 Insertion of two H*<sup>2</sup> *molecules into metallasilsesquioxanes*

Now, consider the insertion of *two* H<sub>2</sub> molecules into the larger POSS compounds  $(T_{10}$  and  $T_{12})$  and Ge-POSS  $(Ge-T_{10})$ . The optimized structures for the transition states for inserting the second  $H_2$  and the corresponding inclusion complexes are shown in Fig. [5.](#page-8-0) In all POSS considered here, the second  $H_2$  inserts vertically (end-on) into the face plane as was found for the first  $H_2$ , and the two  $H_2$  molecules lie along a line (collinear) inside the cages.<sup>[2](#page-7-1)</sup> The second  $H_2$  has

from this TS leads to the  $T_{12}$ -1H<sub>2</sub> -complex and one free H<sub>2</sub>, suggesting that the second  $H_2$  forces the first  $H_2$  out of the cage. Another  $T_{12}$ -2H<sub>2</sub> complex was found, in which the two H2 molecules sit parallel to each other. However, this complex is higher in energy than the  $T_{12}R_6-2H_2-TS$  transition state and has one imaginary frequency. A search was initiated to find a minimum by following the mode corresponding to the imaginary frequency, but the resultant structure is the  $T_{12}R_6 - 2H_2 - TS$ . The potential energy surfaces for the first and second  $H_2$ 

insertion reactions are displayed in Figs. [6](#page-9-0) and [7,](#page-9-1) respectively. As the figures show, the inclusion of the second  $H_2$  requires a very high energy and significantly decreases the stability relative to the single  $H_2$  inclusion complex. The complex

a tendency to push the first  $H_2$  toward one of the faces of the cage. No inclusion complex consisting of  $T_{12}$  and two  $H_2$ molecules were found, even though the corresponding transition state  $(T_{12}R_6-2H_2-TS)$  in Fig. [5\)](#page-8-0) was found. The IRC

<span id="page-7-1"></span> $\overline{2}$  We have located a different transition structure for the H<sub>2</sub> insertion into Ge-T<sub>8</sub> at the MP2/SBK level. In the structure, the second  $H_2$  inserts from the side face of the first inclusion complex so two  $H_2$  molecules form T-shaped structure inside the cage. The energy barrier is calculated to be 74.4 kcal/mol. For the resultant inclusion complex (68.5 kcal/mol above the reactants, Ge-T<sub>8</sub> and two isolated  $H_2$ ), however, two  $H_2$  molecules align on one line like in other cases.



**Fig. 4** MP2/SBK optimized structures of the transition states (*upper*) and the inclusion complexes (*lower*) for the two H2 insertions into isomer a of  $H_8Ge_4Si_4O_{12}$  (4a) in angstroms



<span id="page-8-0"></span>**Fig. 5** HF/TZV(d,p) optimized structures of the transition state and the inclusion complex for the two H<sub>2</sub> insertion into T<sub>10</sub>, T<sub>12</sub> and Ge-T<sub>10</sub> in angstroms. The numbers are the distance between each H2 molecule. The values in *parentheses* are the MP2/SBK distances

with 2  $H_2$  may be too unstable to exist for Si and Ge-T<sub>10</sub>, and for  $Si-T_{12}$  the second  $H_2$  seems to push out the first  $H_2$ , even though there seems to be sufficient room for the two H2 molecules. This probably occurs because of the low energy barriers for  $H_2$  molecules passing through the  $T_{12}$  cage.

#### **4 Concluding remarks**

The H<sub>2</sub> insertion reactions for various sizes of POSS, as well as their Ti, Ge and Zr analogs and the Si/Ge-mixed POSS were investigated. The structures of Ge-POSS, Ti-POSS and



<span id="page-9-0"></span>**Fig. 6** MP2/TZV(d,p) potential energy surface (kcal/mol) for the H<sub>2</sub> insertion into  $T_{10}$  and  $T_{12}$  based on the HF/TZV(d,p) optimized geometries. The values in the *parentheses* are for  $T_{12}$ 



<span id="page-9-1"></span>**Fig. 7** MP2/SBK potential energy surface (kcal/mol) for the H<sub>2</sub> insertion into T<sub>10</sub> and Ge-T<sub>10</sub> based on the MP2/SBK optimized geometries. The values in the *parentheses* are for Ge-T<sub>10</sub>

Zr-POSS are similar to those of Si-POSS, and the relative stabilities of the two isomers of the largest  $(T_{12})$  cage are also same in all substituted compounds. For the Si/Ge-mixed POSS, geometrical changes are observed only in the regions at which Ge substitution has taken place, while the other regions undergo little change.

<span id="page-10-21"></span>Table 9 HF/SBK and MP2/SBK<sup>a</sup> energies (kcal/mol) of inclusion complexes relative to the reactants  $(H_8Ge_pSi_8-pO_{12}+H_2; p=0, 1, 2)$ and 8)

$\boldsymbol{p}$	Isomer	Face	Complex
$\theta$			$23.3(17.1)^a$
1			22.5(16.5)
$\mathcal{L}$	a	1	21.7(15.8)
		2	21.7(15.9)
	b	1	21.7(15.9)
		2	21.7(15.9)
	$\mathbf{C}$		21.7(15.9)
8			17.5(12.6)

<sup>a</sup> The values in parentheses are the MP2/SBK//MP2/SBK values

As expected, the  $H_2$  insertion barrier decreases as the ring size increases, regardless of which metal is used to form the POSS. Among Si-, Ge-, Ti- and  $Zr-T_8$ , the  $Zr$  compound has the largest (most open) cage structure, so the  $H_2$  insertion is predicted to be easiest (lowest barrier) for this species. With regard to synthetic considerations, the combination of a relatively small barrier (∼ 32 kcal/mol) and small endothermicity ( $\sim 4.5$  kcal/mol) would suggest that the Zr-T<sub>8</sub> POSS is the most likely target, followed by the  $Ti-T_8$  POSS. However, a firmer conclusion will require higher levels of theory, as well as dynamics studies.

With regard to all Si POSS, the barrier and the endothermicity decrease as the size of the cage and the insertion face increase. Insertion into the  $T_{10}$  cage is almost thermal neutral and has a 24.3 kcal/mol barrier, so this species may be a viable synthetic target.

Importantly, the energy barrier depends on the size of the ring through the insertion occurs, but does not depend on the size or shape of the entire cage. In the largest cage  $(T_{12})$ ,  $H_2$ is expected to move around inside the cage and pass through the cage with little energy cost.

The insertion of two  $H_2$  molecules is predicted to be difficult, due to high energy barriers in the smaller cages and the ease with which one  $H_2$  can force the other out of the cage for the larger (e.g.,  $T_{12}$ ) cages.

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